

1761



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: DAVID G. WILLIAMS  
Serial No.: 10/058,504  
Filed: January 28, 2002  
For: AN ANIMAL FEED ADDITIVE  
CONTAINING HUMATES AND A  
METHOD OF USING THIS ADDITIVE

§  
§ Attorney Docket No.: HUMT.68040/05  
§  
§  
§ Examiner: C. Sayala  
§  
§  
§ Art Unit: 1761  
§

Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

|  |                                       |
|--|---------------------------------------|
| CERTIFICATE OF MAILING - 37 C.F.R. § 1.8   |                                       |
| I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on May <u>6</u> , 2002. |                                       |
| <u>5/6/02</u><br>Date  | <u>James H. Riley II</u><br>Signature |

In response to the Notice of Incomplete Nonprovisional Application mailed March 4, 2002,

Applicant submits the following documents:

- Declaration of Inventor
- Copy of Specification

The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to Deposit Account 19-2112. A duplicate of this sheet is enclosed.

Respectfully submitted,

James H. Riley II  
James H. Riley II  
Reg. No. 31,131

Date: May 6, 2002

SHOOK, HARDY & BACON L.L.P.  
600 Travis Street, Suite 1600  
Houston, Texas 77002-2911  
Phone: (713) 227-8008  
Fax: (713) 227-9508

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/058,504         | 01/28/2002          | David Williams        | HUMT.68040             |

CONFIRMATION NO. 3270

## FORMALITIES LETTER



\*OC000000007573265\*

Attn: James H. Riley II  
SHOOK, HARDY & BACON L.L.P.  
Suite 1600  
600 Travis Street  
Houston, TX 77002-2911

Date Mailed: 03/04/2002

## NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)).

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition.

- The specification is missing.
- The specification does not include at least one claim.  
*A complete specification as prescribed by 35 U.S.C. 112 is required.*

*A copy of this notice **MUST** be returned with the reply.*

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